## गII||||||||| A Simple Introduction to Syndrome-DecodingBased Cryptography

## Contents

$\square$ Motivation and basic concepts of error-correcting codes
$\square$ Cryptosystems based on syndrome decoding (McEliece and Niederreiter encryption, CFS signatures)
$\square$ Constructing and decoding Goppa codes
$\square$ Current challenges (reducing key sizes, safe codes, new functionality)


## Motivation

## Deployed Cryptosystems

$\square$ Conventional intractability assumptions:

- Integer Factorization (IFP): RSA.
- Discrete Logarithm (DLP), Diffie-Hellman (DHP), bilinear variants: ECC, PBC.
$\square$ These assumptions reduce to the Hidden Subgroup Problem - HSP.


## Quantum Computing

$\square$ Shor's quantum algorithm can solve particular cases of the AHSP (including IFP and DLP) in random polynomial time.


## Proposed Post-Quantum Cryptosystems

$\square$ Quantum computers seem to be unable to solve NP-complete/NP-hard problems.
$\square$ Syndrome Decoding (this seminar)
$\square$ Lattice Reduction
$\square$ Merkle signatures, Multivariate Quadratic Systems, Non-Abelian (e.g. Braid) Groups, Permuted Kernels and Perceptrons, Constrained Linear Equations...


## Basic Concepts of Error-Correcting Codes

## Linear Codes

$\square$ The (Hamming) weight $w(u)$ of $u \in\left(\mathbb{F}_{q}\right)^{n}$ is the number of nonzero components of $u$, and the (Hamming) distance between $\mathrm{u}, \mathrm{v}$ $\in\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{n}}$ is $\operatorname{dist}(\mathrm{u}, \mathrm{v}) \equiv \mathrm{w}(\mathrm{u}-\mathrm{v})$.
$\square$ A linear [ $\mathrm{n}, \mathrm{k}$ ]-code $\mathcal{C}$ over $\mathbb{F}_{\mathrm{q}}$ is a k dimensional vector subspace of $\left(\mathbb{F}_{q}\right)^{n}$.

## Linear Codes

$\square$ A code may be defined by a generator matrix $G \in\left(\mathbb{F}_{q}\right)^{k \times n}$ or by a parity-check matrix $H \in\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{r} \times n}$ with $r=n-k$.
$-\mathcal{C}=\left\{u G \in\left(\mathbb{F}_{\mathrm{q}}\right)^{n} \mid \mathrm{u} \in\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{k}}\right\}$
$\square \mathcal{C}=\left\{v \in\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{n}} \mid H v^{\top}=0^{\mathrm{r}}\right\}$
$\square$ N.B. The vector $s$ such that $\mathrm{Hv}^{\top}=s^{\top}$ is called the syndrome of v .
$\square$ N.B. $\mathrm{HG}^{\top}=0$.

## Linear Codes

$\square$ Generator and parity-check matrices are not unique: given an arbitrary nonsingular matrix $S \in\left(\mathbb{F}_{q}\right)^{k \times k}$ (resp. $\left.S \in\left(\mathbb{F}_{q}\right)^{\text {rxr }}\right)$, the matrix $\mathrm{G}^{\prime}=\mathrm{SG}$ (resp. $\mathrm{H}^{\prime}=\mathrm{SH}$ ) defines the same code as G (resp. H) in another basis.
$\square$ Consequence: systematic (echelon) form $G=\left[I_{k} \mid M\right], H=\left[-M^{\top} \mid I_{r}\right]$ where $M \in$ $\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{kxr}}$. N.B.: not always possible.

## Linear Codes

$\square$ Two codes are (permutation) equivalent if they differ essentially by a permutation on the coordinates of their elements.
$\square$ Formally, a code $\mathcal{C}^{\prime}$ generated by $\mathrm{G}^{\prime}$ is equivalent to a code $\mathcal{C}$ generated by G iff G' $=$ SGP for some permutation matrix $\mathrm{P} \in$ $\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{n} \times \mathrm{n}}$ and some nonsingular matrix $\mathrm{S} \in$ $\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{k} \times \mathrm{k}}$. Notation: $\mathcal{C}^{\prime}=\mathcal{C P}$.

## General Decoding

-Input: positive integers n, k, t; a finite field $\mathbb{F}_{\mathrm{q}}$; a linear $[\mathrm{n}, \mathrm{k}]$-code $\mathcal{C} \in\left(\mathbb{F}_{\mathrm{q}}\right)^{n}$ defined by a generator matrix $G \in\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{k} \times n}$; a vector $\mathrm{c} \in\left(\mathbb{F}_{\mathrm{q}}\right)^{n}$.
$\square$ Question: is there a vector $m \in\left(\mathbb{F}_{q}\right)^{k}$ s.t. $\mathrm{e}=\mathrm{c}-\mathrm{mG}$ has weight $\mathrm{w}(\mathrm{e}) \leq \mathrm{t}$ ?
$\square$ NP-complete!
$\square$ Search: find such a vector e.

## Syndrome Decoding

-Input: positive integers $\mathrm{n}, \mathrm{k}, \mathrm{t}$; a finite field $\mathbb{F}_{\mathrm{q}}$; a linear $[\mathrm{n}, \mathrm{k}]$-code $\mathcal{C} \in\left(\mathbb{F}_{\mathrm{q}}\right)^{n}$ defined by a parity-check matrix $H \in$ $\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{rxn}}$ with $\mathrm{r}=\mathrm{n}-\mathrm{k}$; a vector $\mathrm{s} \in\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{r}}$.
$\square$ Question: is there a vector $\mathrm{e} \in\left(\mathbb{F}_{\mathrm{q}}\right)^{n}$ of weight w(e) $\leq \mathrm{t}$ s.t. $\mathrm{He}^{\top}=\mathrm{s}^{\top}$ ?
$\square$ NP-complete!
$\square$ Search: find such a vector e.

## Easily Decodable Codes

$\square$ Some codes allow for efficient decoding, e.g. GRS/alternant codes with a paritycheck matrix of form $\mathrm{H}=\mathrm{VD}$ with

$$
V=\left[\begin{array}{cccc}
1 & 1 & \ldots & 1 \\
L_{0} & L_{1} & \ldots & L_{n-1} \\
L_{0}^{2} & L_{1}^{2} & \ldots & L_{n-1}^{2} \\
\vdots & \vdots & \ddots & \vdots \\
L_{0}^{r-1} & L_{1}^{r-1} & \ldots & L_{n-1}^{r-1}
\end{array}\right], D=\left[\begin{array}{ccccc}
D_{0} & 0 & 0 & \ldots & 0 \\
0 & D_{1} & 0 & \ldots & 0 \\
0 & 0 & D_{2} & \ldots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
0 & 0 & 0 & \ldots & D_{n-1}
\end{array}\right] .
$$

## Easily Decodable Codes

$\square$ N.B. The decoding algorithm may require a syndrome computed with such a special parity-check matrix H.
$\square$ Given a syndrome $\mathrm{c}^{\top}=\mathrm{Au}^{\top}$ computed with a different parity-check matrix A for the same code (hence $H=S A$ for some S), a decodable syndrome is obtained as $\mathbf{s}^{\top}=$ $\mathrm{Sc}^{\top}=H \mathrm{u}^{\top}$ with $\mathrm{S}=\mathrm{HA}^{\top}\left(\mathrm{AA}^{\top}\right)^{-1}$.

## Permuted Decoding

$\square$ Problem: Solve the GDP/SDP for a code $\mathcal{C}$ that is permutation equivalent to some efficiently decodable code $\mathcal{C}^{\prime}$.
$\square$ Obvious resolution strategy: find the permutation and basis change between the codes, and use the $\mathcal{C}^{\prime}$ trapdoor to decode in $\mathcal{C}$.
$\square$ Conjectured to be "hard enough" for certain codes.

## Shortened Decoding

$\square$ Problem: Solve the GDP/SDP for a code $\mathcal{C}$ that is permutation equivalent to some shortened (i.e. projection) subcode of some efficiently decodable code $C^{\prime}$.
$\square$ Obvious resolution strategy: find the permutation, basis change and shortening between the codes, and use the $\mathcal{C}^{\prime}$ trapdoor to decode in $\mathcal{C}$.
$\square$ Deciding whether a code is equivalent to a shortened code is NP-complete.


## Cryptosystems Based on Syndrome Decoding

## McEliece Cryptosystem

$\square$ Key generation:

- Choose a uniformly random [n, k] t-error correcting, efficiently decodable code $\Gamma$ and a uniformly random permutation matrix $P \in\left(\mathbb{F}_{q}\right)^{k \times k}$, and compute a systematic generator matrix $G \in\left(\mathbb{F}_{\mathrm{q}}\right)^{k \times h}$ for the equivalent code $\Gamma$.
- Set $K_{\text {priv }}=(\Gamma, P), K_{\text {pub }}=(G, t)$.
$\square$ Encryption of a plaintext $m \in\left(\mathbb{F}_{q}\right)^{k}$ :
- Choose a uniformly random t-error vector $e \in\left(\mathbb{F}_{\mathrm{q}}\right)^{n}$ and compute $\mathrm{c}=\mathrm{mG}+\mathrm{e} \in\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{n}}$.
$\square$ Decryption of a ciphertext $c \in\left(\mathbb{F}_{q}\right)^{n}$ :
- Correct the errors in $c^{\prime}=c P^{-1}$, i.e. find the t-error vector e' $=\mathrm{eP}^{-1} \mathrm{~s} . \mathrm{t}$. $\mathrm{c}^{\prime}-\mathrm{e}^{\prime} \in \Gamma$, then recover m directly from $\mathrm{c}-\mathrm{e} \in$ ГР.


## A Toy Example

$\square$ Let $\mathrm{n}=8, \mathrm{t}=1, \mathrm{k}=4$, and a code with the following systematic parity-check matrix H and generator matrix G:

$$
H=\left[\begin{array}{llll|llll}
1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\
0 & 1 & 1 & 0 & 0 & 1 & 0 & 0 \\
0 & 0 & 1 & 1 & 0 & 0 & 1 & 0 \\
1 & 0 & 1 & 1 & 0 & 0 & 0 & 1
\end{array}\right], G=\left[\begin{array}{llll|llll}
1 & 0 & 0 & 0 & 1 & 0 & 0 & 1 \\
0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 \\
0 & 0 & 1 & 0 & 1 & 1 & 1 & 1 \\
0 & 0 & 0 & 1 & 1 & 0 & 1 & 1
\end{array}\right] .
$$

$\square$ Encryption of the message $m=\left(\begin{array}{lll}1 & 1 & 0\end{array}\right)$ with error vector $\mathrm{e}=\left(\begin{array}{llllll}0 & 01000\end{array}\right): \mathrm{c}=\mathrm{mG}+\mathrm{e}=\left(\begin{array}{lll}11100101\end{array}\right)$.
$\square$ Syndrome computation $\mathrm{Hc}^{\top}=\left(\begin{array}{llll}1 & 1 & 1 & 1\end{array}\right)^{\top}$, error correction reveals e and yields $\left.\mathrm{mG}=\mathrm{c}-\mathrm{e}=\left(\begin{array}{lll}11100\end{array}\right) 101\right)$.

## Niederreiter Cryptosystem

$\square$ Key generation:

- Choose a uniformly random [n, k] t-error correcting, efficiently decodable code $\Gamma$ and a uniformly random permutation matrix $\mathrm{P} \in\left(\mathbb{F}_{q}\right)^{\mathrm{k} \mathrm{\times k}}$, and compute a systematic parity-check matrix $H \in\left(\mathbb{F}_{q}\right)^{\text {rxn }}$ for the equivalent code $\Gamma$.
- Set $K_{\text {priv }}=(\Gamma, P), K_{\text {pub }}=(H, t)$.
$\square$ Encryption of a plaintext $m \in\left(\mathbb{F}_{\mathrm{q}}\right)^{\ell}$ with $\ell \leq(\mathrm{n}$ choose t$)$ :
- Represent $m$ as a $t$-error vector $e \in\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{n}}$, and compute the syndrome $\mathrm{c}^{\top}=\mathrm{He}^{\top} \in\left(\mathbb{F}_{\mathrm{q}}\right)^{r}$.
$\square$ Decryption of a ciphertext $c \in\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{r}}$ :
- Decode the syndrome $\mathrm{c}^{\top}=\mathrm{He}^{\top}=\left(\mathrm{HP}^{-1}\right)\left(\mathrm{Pe}^{\top}\right)=\left(\mathrm{HP}^{-1}\right)$ $\left(\mathrm{eP}^{-1}\right)^{\top}$ to the error vector $\mathrm{e}^{\prime}=\mathrm{eP}^{-1}$ using the decoding algorithm for $\Gamma$, and obtain the plaintext m from $\mathrm{e}=\mathrm{e}^{\prime} \mathrm{P}$.


## CFS Signatures

$\square$ Key generation:

- Choose a uniformly random [n, k] t-error correcting, efficiently decodable code $\Gamma$ and a uniformly random permutation matrix $P \in$ $\left(\mathbb{F}_{2}\right)^{k \times k}$, and compute a systematic parity-check matrix $H \in\left(\mathbb{F}_{2}\right)^{r \times n}$ for the equivalent code $\Gamma$.
- Choose a random oracle $\mathrm{h}:\{0,1\} * \times \mathbb{N} \rightarrow\left(\mathbb{F}_{2}\right)^{r}$.
- Set $K_{\text {priv }}=(\Gamma, P), K_{\text {pub }}=(H, t)$.
$\square$ Signing a message m:
- Find $i \in \mathbb{N}$ such that $s \leftarrow h(m, i)$ is a decodable syndrome of $\Gamma$, i.e. $\mathrm{s}^{\top}=\mathrm{He}^{\top}=\left(\mathrm{HP}^{-1}\right)\left(\mathrm{eP}^{-1}\right)^{\top}$ for some t-error vector eP ${ }^{-1} \in\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{n}}$.
- Decode $s^{\top}$ to the error vector $\mathrm{e}^{\prime}=\mathrm{eP}^{-1}$ using. the decoding algorithm for $\Gamma$, and obtain $e \leftarrow e^{\prime} P$. The signature is $(e, i) \in\left(\mathbb{F}_{2}\right)^{n}$ $\times \mathbb{N}$.
$\square$ Verifying a signature (e, i):
- Check that $w(e) \leq t$, and compute $c \leftarrow \mathrm{He}^{\top}$.
- Accept the signature iff $c=h(m, i)$.


## IND-CCA2 Security

$\square$ McEliece is not secure in the strong sense of indistinguishability under an adaptive chosen-ciphertext attack (e.g. c $=\mathrm{mG}+\mathrm{e}$ reveals all bits of $m$ but $t$, at most).
$\square$ Solution: all-or-nothing transform (AONT), e.g. (McEliece-tailored) Fujisaki-Okamoto.

## IND-CCA2 Security

$\square$ Random oracles

- $\mathcal{R}:\left(\mathbb{F}_{2}\right)^{\mathrm{k}} \rightarrow\{0,1\}^{*}$.
- $\mathcal{H}:\left(\mathbb{F}_{2}\right)^{k} \times\{0,1\}^{*} \rightarrow\{0, \ldots,(\mathrm{n}$ choose t$)-1\}$, with output encoded as a vector in $\left(\mathbb{F}_{2}\right)^{n}$.
$\square$ Encryption of $m \in\{0,1\} *$ :
$-\mathrm{u} \leftarrow \operatorname{random}\left(\mathbb{F}_{2}\right)^{\mathrm{k}}$
- $\mathrm{c} \leftarrow \mathcal{R}(\mathrm{u}) \oplus \mathrm{m}$
- $\mathrm{e} \leftarrow \mathcal{H}(\mathrm{u}, \mathrm{m})$
- $\mathrm{z} \leftarrow \mathrm{uG}+\mathrm{e}$
$\square$ The ciphertext is $(z, c) \in\left(\mathbb{F}_{2}\right)^{n} \times\{0,1\}^{*}$.
$\square$ Decryption: find $u$ and e from $z$, recover $m \leftarrow$ $\mathcal{R}(u) \oplus \mathrm{c}$, and accept iff $\mathrm{e}=\mathcal{H}(\mathrm{u}, \mathrm{m})$.


## Summary

$\square$ Syndrome decoding based cryptosystems are simple and efficient.
$\square$ Security related to NP-complete and NPhard problems (a suitable code may make this relation stronger).
$\square$ Strong notions of security are possible in the RO model using a suitable AONT.


## Goppa Codes

## Goppa Codes

$\square$ Let $g(x)=\sum_{i=0}{ }^{t} g_{j} x^{i}$ be a monic ( $g_{t}=1$ ) polynomial in $\mathbb{F}_{q}[x]$ where $q=p^{m}$.
$\square$ Let $\mathrm{L}=\left(\mathrm{L}_{0}, \ldots, \mathrm{~L}_{\mathrm{n}-1}\right) \in\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{n}}$ (all distinct) such that $g\left(L_{j}\right) \neq 0$ for all j . L is called the code support.
$\square$ Properties:

- Easy to generate and plentiful.
- Usually $g(x)$ is chosen to be irreducible; if so, $\mathbb{F}_{\mathrm{q}^{t}}=\mathbb{F}[\mathrm{x}] / \mathrm{g}(\mathrm{x})$.


## Goppa Codes

$\square$ The syndrome function is the linear map $S:\left(\mathbb{F}_{p}\right)^{n} \rightarrow \mathbb{F}_{q}[X]:$

$$
S(c)=\sum_{i=0}^{n-1} \frac{c_{i}}{x-L_{i}}=\sum_{c_{i}=1} \frac{1}{x-L_{i}}(\bmod g(x)) .
$$

$\square$ The Goppa code $\Gamma(\mathrm{L}, \mathrm{g})$ is the kernel of the syndrome function, i.e. $\Gamma=\left\{c \in\left(\mathbb{F}_{p}\right)^{n}\right.$ $\mathrm{S}(\mathrm{c})=0\}$.

## Goppa Codes

$\square$ The syndrome can be written in paritycheck matrix form as $\mathrm{H}^{*} \in\left(\mathbb{F}_{\mathrm{q}}\right)^{\text {tx }}$ or even $H \in\left(\mathbb{F}_{p}\right)^{m \times n}$.
$\square$ Trace construction of the parity-check matrix H: write the $\mathbb{F}_{p}$ components of each $\mathbb{F}_{\mathrm{q}}$ element (in a certain basis) from $\mathrm{H}^{*}$ on m successive rows of H .

## Parity-Check Matrix

$\square$ Easy to compute $\mathrm{H}^{*}$ from L and g, namely, $\mathrm{H}^{*}{ }_{\text {txn }}$ $=T_{t \times t} V_{t \times n} D_{n \times n}$, where:

$$
\begin{gathered}
T=\left[\begin{array}{ccccc}
1 & 0 & 0 & \ldots & 0 \\
g_{t-1} & 1 & 0 & \ldots & 0 \\
g_{t-2} & g_{t-1} & 1 & \ldots & 0 \\
\vdots & \vdots & \vdots & \ddots & \vdots \\
g_{1} & g_{2} & g_{3} & \ldots & 1
\end{array}\right], V=\left[\begin{array}{cccc}
1 & 1 & \ldots & 1 \\
L_{0} & L_{1} & \ldots & L_{n-1} \\
L_{0}^{2} & L_{1}^{2} & \ldots & L_{n-1}^{2} \\
\vdots & \vdots & \ddots & \vdots \\
L_{0}^{t-1} & L_{1}^{t-1} & \ldots & L_{n-1}^{t-1}
\end{array}\right], \\
D=\left[\begin{array}{cccc}
1 / g\left(L_{0}\right) & 0 & \cdots & 0 \\
0 & 1 / g\left(L_{1}\right) & \cdots & 0 \\
\vdots & \vdots & \cdots & \vdots \\
0 & & 0 & \cdots \\
1 / g\left(L_{n-1}\right)
\end{array}\right] .
\end{gathered}
$$

## A Toy Example

$\square$ The toy example sets $m=4, \mathbb{F}_{2 m}=\mathbb{F}_{2}[u] /\left(u^{4}+u+1\right), n=$ $8, \mathrm{t}=1, \mathrm{k}=\mathrm{n}-\mathrm{mt}=4$, with generator polynomial $\mathrm{g}(\mathrm{x})=$ x and support $\mathrm{L}=\left(\mathrm{u}^{7}, \mathrm{u}^{2}, \mathrm{u}^{3}, \mathrm{u}^{10}, \mathrm{u}^{13}, \mathrm{u}^{1}, \mathrm{u}^{11}, \mathrm{u}^{0}\right)$.
$\square$ The parity-check matrix H* (leading to the binary matrix H via the trace construction and systematic formatting) is

$$
\left.\left.\begin{array}{rl}
H^{*} & =T V D=\left[\begin{array}{llllllll}
u^{8} & u^{13} & u^{12} & u^{5} & u^{2} & u^{14} & u^{4} & u^{0}
\end{array}\right] \\
T & =\left[\begin{array}{l}
1
\end{array}\right] \\
V & =\left[\begin{array}{lllllll}
1 & 1 & 1 & 1 & 1 & 1 & 1
\end{array}\right. \\
1
\end{array}\right], \quad \begin{array}{lllll}
1 / g\left(L_{0}\right) & 1 / g\left(L_{1}\right) & \ldots & 1 / g\left(L_{7}\right)
\end{array}\right] .
$$

## Error Locator Polynomial

$\square$ Efficient decoding procedure for known g and $L$ via the error locator polynomial.

$$
\sigma(x) \equiv \prod_{e_{i \neq 0}}\left(x-L_{i}\right) \in \mathbb{F}_{q}[x] / g(x)
$$

$\square$ Property: $\sigma\left(L_{i}\right)=0 \Leftrightarrow e_{i}=1$.
$\square$ For simplicity, assume binary fields (otherwise an error evaluator polynomial must be defined and computed as well).

## Error Correction

$\square$ Let $\mathrm{m} \in \Gamma$, let $\mathrm{e} \in\left(\mathbb{F}_{2}\right)^{\mathrm{n}}$ be an error vector of weight $w(e) \leq t$, and $c=m+e$ :

- Compute the syndrome of e through the relation $\mathrm{S}(\mathrm{e})=\mathrm{S}(\mathrm{c})$.
- Compute the error locator polynomial $\sigma$ from the syndrome.
- Determine which $L_{i}$ are zeroes of $\sigma$ (Chien search) thus retrieving e and recovering m .


## Error Correction

$\square$ Let $s(x) \leftarrow S(e)$. If $s(x) \equiv 0$, nothing to do (no error), otherwise $s(x)$ is invertible.

- Property \#1: $\quad \sigma(x)=a(x)^{2}+x b(x)^{2}$.
- Property \#2: $\frac{d}{d x} \sigma(x)=b(x)^{2}$. (N.B.: char 2)
- Property \#3: $\quad \frac{d}{d x} \sigma(x)=\sigma(x) s(x)$.
$\square$ Thus $b(x)^{2}=\left(a(x)^{2}+x b(x)^{2}\right) s(x)$, hence $a(x)=b(x) v(x)$ with $v(x)=\sqrt{x+1 / s(x)} \bmod g(x)$. Extended Euclid!

Extended Euclid!

## A Toy Example

$\square$ The toy example sets $g(x)=x, L=\left(u^{7}, u^{2}, u^{3}, u^{10}, u^{13}, u^{1}\right.$, $\left.u^{11}, u^{0}\right), c=\left(\begin{array}{llll}1 & 1 & 0 & 101\end{array}\right)$, and $H^{\top}=\left(\begin{array}{ll}111\end{array}\right)^{\top}$, so $s(x)$ $=u^{3}+u^{2}+u+1=u^{12}$.
$\square$ Hence $v(x)=(x+1 / s(x))^{1 / 2} \bmod g(x)=\left(x+u^{3}\right)^{1 / 2} \bmod x$ $=\left(u^{3}\right)^{1 / 2}=u^{9}$.
$\square$ Extended Euclid starts with $a(x)=g(x)=x$ and $b(x)=0$, and proceeds until $\operatorname{deg}(a) \leq\lfloor t / 2\rfloor=0, \operatorname{deg}(b) \leq\lfloor(t-1) / 2\rfloor=$ 0 , with $\mathrm{a}(\mathrm{x})=\mathrm{u}^{9}$ and $\mathrm{b}(\mathrm{x})=1$.
$\square$ Thus $\sigma(x)=x+u^{3}$, which is zero for $x=u^{3}=L_{2}$, and hence $e_{2}=1$ (i.e. $c_{2}$ is in error).

## Summary

$\square$ Goppa codes are simple to construct and to decode.
$\square$ Binary irreducible Goppa codes have distance $2 \mathrm{t}+1$. The best one gets for any other alternant code is distance $t+1$.
$\square$ Cryptosystems on Goppa codes remain unbroken.


## Problems and Challenges

## Why Goppa?

$\square$ Most syndrome-based cryptosystems can be instantiated with general [ $\mathrm{n}, \mathrm{k}$ ]-codes, but not all choices of code are secure.

- Gabidulin, maximum rank distance (MRD), GRS, lowdensity parity-check (LDPC) and several other codes are all insecure.
$\square$ Goppa seems to be OK.
- Complexity of distinguishing a permuted Goppa code from a random code of the same length and distance: $\mathrm{O}\left(\mathrm{t} \mathrm{n}^{\mathrm{t}-2} \log ^{2} \mathrm{n}\right.$ ) [Sendrier 2000], or $\mathrm{O}\left(2^{n} / \mathrm{t}\right)$ in most cryptosystems, where $t=\Theta(n / \log n)$.
- Few known vulnerabilities (e.g. generator polynomial defined over a proper subfield of the base field).


## Choosing Parameters

$\square$ Original McEliece setting:

- $m=10, n=2 m=1024$ (hence $L$ spans $\mathbb{F}_{2 m}$ ), $\mathrm{t}=50$, $k=n-m t=524$, security $\approx 254$, naïve key size $=65.5$ KiB , key size $=32 \mathrm{KiB}$.
$\square$ Other choices [BLP 2008]:

| security | $n$ | $t$ | $k$ | $m$ | naïve key size | key size |
| :---: | :---: | ---: | :---: | :---: | ---: | ---: |
| $2^{80}$ | 1632 | $33+1$ | 1269 | 11 | $74-253 \mathrm{KiB}$ | 57 KiB |
| $2^{128}$ | 2960 | $56+1$ | 2288 | 12 | $243-827 \mathrm{KiB}$ | 188 KiB |
| $2^{192}$ | 4624 | $95+2$ | 3389 | 13 | $698-1913 \mathrm{KiB}$ | 511 KiB |
| $2^{256}$ | 6624 | $115+2$ | 5129 | 13 | $1209-4147 \mathrm{KiB}$ | 937 KiB |

## Quasi-Dyadic Codes

$\square$ Let t be a power of 2. A matrix $\mathrm{H} \in \mathcal{R}^{\mathrm{txt}}$ over a ring $\mathcal{R}$ is called dyadic iff $\mathrm{H}_{\mathrm{ij}}=\mathrm{h}_{\mathrm{i} \oplus \mathrm{j}}$ for some vector $h \in \mathcal{R}^{\mathrm{t}}$.


## Quasi-Dyadic Codes

$\square$ Dyadic matrices form a subring of $\mathcal{R}^{\mathrm{t} \times \mathrm{t}}$ (commutative if $\mathcal{R}$ is commutative).
$\square$ Compact: O(t) rather than O(t²) space.
$\square$ Efficient: multiplication in time O(t $\lg \mathrm{t}$ ) time via fast Walsh-Hadamard transform, inversion in time $\mathrm{O}(\mathrm{t})$ in characteristic 2.

## Quasi-Dyadic Codes

$\square$ A Cauchy matrix is a matrix $C \in\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{t} \times \mathrm{n}}$ where $C_{i j}=1 /\left(z_{i}-L_{j}\right)$ for vectors $z \in\left(\mathbb{F}_{q}\right)^{t}$ and $L \in\left(\mathbb{F}_{q}\right)^{n}$.
$\square$ Goppa codes admit a parity-check matrix in Cauchy form: just take $z$ to be the roots of the generator polynomial, i.e. $g(x)=$ $\left(x-z_{0}\right) \ldots\left(x-z_{t-1}\right)$.
$\square$ Idea: find a dyadic Cauchy matrix.

## Quasi-Dyadic Codes

$\square$ Theorem: a dyadic Cauchy matrix is only possible over fields of characteristic 2 (i.e. $\mathrm{q}=2 \mathrm{~m}$ for some m ), and any suitable $\mathrm{h} \in$ $\left(\mathbb{F}_{\mathrm{q}}\right)^{\mathrm{n}}$ satisfies

$$
\frac{1}{h_{i \oplus j}}=\frac{1}{h_{i}}+\frac{1}{h_{j}}+\frac{1}{h_{0}}
$$

with $z_{i}=1 / h_{i}+\omega, L_{j}=1 / h_{j}-1 / h_{0}+\omega$ for arbitrary $\omega$, and $H_{i j}=h_{i \oplus j}=1 /\left(z_{i}-L_{j}\right)$.

## Quasi-Dyadic Codes

$\square$ Choose distinct $\mathrm{h}_{0}$ and $\mathrm{h}_{\mathrm{i}}$ with $\mathrm{i}=2^{\mathrm{u}}$ for $0 \leq u<\lceil\lg \mathrm{n}\rceil$ uniformly at random from $\mathbb{F}_{\mathrm{q}}$, then set

$$
h_{i+j} \leftarrow \frac{1}{\frac{1}{h_{i}}+\frac{1}{h_{j}}+\frac{1}{h_{0}}}
$$

for $0<\mathrm{j}<\mathrm{i}($ so that $\mathrm{i}+\mathrm{j}=\mathrm{i} \oplus \mathrm{j})$.
$\square$ Complexity: O(n).

## Quasi-Dyadic Codes

$\square$ Structure hiding:

- choose a long dyadic code over $\mathbb{F}_{\mathrm{q}}$,
- blockwise shorten the code (Wieschebrink),
- permute dyadic block columns,
- dyadic-permute individual blocks,
- take a binary subfield subcode.
$\square$ Quasi-dyadic matrices: $\left(\left(\mathbb{F}_{2}\right)^{\text {txt }}\right)^{m \times \ell}$.



## Compact Keys

$\square$ Sample parameters for practical security levels (private codes over $\mathbb{F}_{2} 16$ ).
$\square$ Still larger than RSA keys... but faster, and quantum-immune ©

| security | $n$ | $t$ | $k$ | MB key size | BLP/MB |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $2^{80}$ | 2304 | 64 | 1280 | 20480 bits | 23 |
| $2^{128}$ | 4096 | 128 | 2048 | 32768 bits | 47 |
| $2^{192}$ | 7168 | 256 | 3072 | 49152 bits | 85 |
| $2^{256}$ | 8192 | 256 | 4096 | 65536 bits | 117 |

## Further Issues

$\square$ One can do encryption, signatures, even identity-based identification using ECC (error-correcting codes, not elliptic curve cryptosystems).
$\square$ How do we get identity-based encryption? What about other protocols that are easy with pairings? N.B. Some functionality is possible with lattices - why not with ECC?


## Appendix A

## Hidden Subgroup Problem

$\square$ Let $\mathbb{G}$ be a group, $\mathbb{H} \subset \mathbb{G}$, and $f$ a function on $\mathbb{G}$. We say that $f$ separates cosets of $\mathbb{H}$ if $f(u)=f(v)$ $\Leftrightarrow \mathrm{u} \mathbb{H}=\mathrm{v} \mathbb{H}, \forall \mathrm{u}, \mathrm{v} \in \mathbb{G}$.
$\square$ Hidden Subgroup Problem (HSP):

- Let $\mathcal{A}$ be an oracle to compute a function that separates cosets of some subgroup $\mathbb{H} \subset \mathbb{G}$. Find a generating set for $\mathbb{H}$ using information gained from $\mathcal{A}$.
$\square$ Important special cases:
- Abelian Hidden Subgroup Problem (AHSP)
- Dihedral Hidden Subgroup Problem (DHSP)



## Appendix B

## Ranking and Unranking

 Permutations$\square$ Let $\mathcal{B}(\mathrm{n}, \mathrm{t})=\left\{\mathrm{u} \in\left(\mathbb{F}_{2}\right)^{\mathrm{n}} \mid \mathrm{w}(\mathrm{u})=\mathrm{t}\right\}$, with cardinality

$$
r=\binom{n}{t} \approx \frac{n^{t}}{t!}
$$

$\square$ A ranking function is a mapping rank: $\mathcal{B}(\mathrm{n}, \mathrm{t}) \rightarrow$ \{1..r\} which associates a unique index in $\{1 . . r$ \} to each element in $\mathcal{B}(\mathrm{n}, \mathrm{t})$. Its inverse is called the unranking function.
$\square$ Rank size: $\lg r \approx t(\lg n-\lg t+1)$ bits.

## Ranking and Unranking

 Permutations$\square$ Ranking and unranking can be done in O(n) time (Ruskey 2003, algorithm 4.10).
$\square$ Computationally simplest ordering: colex.
$\square$ Definition: $a_{1} a_{2} . . a_{n}<b_{1} b_{2} . . b_{m}$ in colex order iff $a_{n} . . a_{2} a_{1}<b_{m} . . b_{2} b_{1}$ in lex order.

## Colex Ranking

$\square$ Sum of binomial coefficients:

$$
\operatorname{Rank}\left(a_{1} a_{2} \ldots a_{k}\right)=\sum_{j=1}^{k}\binom{a_{j}-1}{j}
$$

$\square$ Implementation strategy: precompute a table of binomial coefficients.

## Colex Unranking

for $\mathrm{j} \leftarrow \mathrm{k}$ downto 1 \{

$$
\mathrm{p} \leftarrow \mathrm{j}
$$

$$
\text { while }\binom{p}{j} \leq r \text { \{ }
$$

$$
p \leftarrow p+1
$$

\}

$$
\begin{aligned}
& f \\
& r \leftarrow r-\binom{p-1}{\mathrm{a}_{\mathrm{j}} \leftarrow p}
\end{aligned}
$$

\}
return $a_{1} a_{2} \ldots a_{k}$


## Appendix C

## Decoding a syndrome $s(x)$ for a binary Goppa code

$\mathrm{v}(\mathrm{x}) \leftarrow(\mathrm{x}+1 / \mathrm{s}(\mathrm{x}))^{1 / 2} \bmod \mathrm{~g}(\mathrm{x}) / /$ extended Euclid!
$\mathrm{F} \leftarrow \mathrm{v}, \mathrm{G} \leftarrow \mathrm{g}, \mathrm{B} \leftarrow 1, \mathrm{C} \leftarrow 0, \mathrm{t} \leftarrow \operatorname{deg}(\mathrm{g})$
while $(\operatorname{deg}(\mathrm{G})>\lfloor\mathrm{t} / 2 \mathrm{~J})$ \{
$\mathrm{F} \leftrightarrow \mathrm{G}, \mathrm{B} \leftrightarrow \mathrm{C}$
while ( $\operatorname{deg}(F) \geq \operatorname{deg}(G))$ \{

$$
\begin{aligned}
& \mathrm{j} \leftarrow \operatorname{deg}(F)-\operatorname{deg}(G), h \leftarrow \mathrm{~F}_{\operatorname{deg}(F)} / \mathrm{G}_{\operatorname{deg}(G)} \\
& \mathrm{F} \leftarrow \mathrm{~F}-\mathrm{h} x^{\mathrm{j}} \mathrm{G}, \mathrm{~B} \leftarrow \mathrm{~B}-\mathrm{h} \mathrm{x}^{\mathrm{C}}
\end{aligned}
$$

\}
\}
$\sigma(x) \leftarrow G(x)^{2}+x C(x)^{2}$
return $\sigma \quad / /$ error locator polynomial


## Appendix D

## Decoding Alternant Codes

$\square$ Similar to Patterson's algorithm for binary irreducible Goppa codes.
$\square$ Extended Euclid initialized with $s(x)$ instead of $v(x)$ and $x^{r}$ instead of $g(x)$.
$\square \sigma(x)=b(x) / b(0)(s o$ that $\sigma(0)=1$ ).
$\square$ N.B.: Patterson's algorithm works for binary reducible Goppa codes as long as the syndrome is invertible mod $g(x)$.

